BEFORE THE ARIZONA STATE BOARD OF PHARMACY 1 Investigation Case Number 04-0011-PHR 2 In the Matter of: HOWARD G. JONES, RPh. 3 CONSENT AGREEMENT AND ORDER Holder of License No. 12624 FOR SUSPENSION AND PROBATION For the Practice of Pharmacy In the State of Arizona, 5 Respondent 6 RECITALS 7 In the interest of a prompt and judicious settlement of this case, consistent with the public 8 interest, statutory requirements and the responsibilities of the Arizona State Board of Pharmacy ("Board") 9 and under A.R.S. §§ 32-1901 et. seq. and 41-1092.07(F)(5), HOWARD G. JONES ("Respondent"), 10 holder of pharmacist license number 12624 to practice pharmacy in the State of Arizona, and the Board 11 enter into the following Recitals, Findings of Fact, Conclusions of Law and Order ("Consent 12 Agreement") as a final disposition of this matter. 13 1. Respondent has read and understands this Consent Agreement and has had the 14 opportunity to discuss this Consent Agreement with an attorney, or has waived the opportunity 15 16 to discuss this Consent Agreement with an attorney. 2. Respondent understands that he has a right to a public administrative hearing concerning the 17 above-captioned matter, at which hearing he could present evidence and cross-examine witnesses. By 18 entering into this Consent Agreement, Respondent freely and voluntarily relinquishes all right 19 to such an administrative hearing, as well as rights of rehearing, review, reconsideration, appeal, judicial 20 review or any other administrative and/or judicial action, concerning the matters set forth herein. 21 3. Respondent affirmatively agrees that this Consent Agreement shall be irrevocable. 22 4. Respondent understands that this Consent Agreement or any part of the agreement may 23 be considered in any future disciplinary action against him. 24

5. Respondent understands this Consent Agreement deals with Board Investigation

Case No. 04-0011-PHR involving allegations of unprofessional conduct against Respondent. The

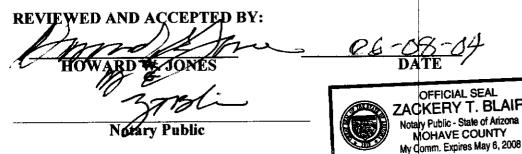
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investigation into these allegations against Respondent shall be concluded upon the Board's adoption of this Consent Agreement.

- 6. Respondent understands that this Consent Agreement does not constitute a dismissal or resolution of any other matters currently pending before the Board, if any, and does not constitute any waiver, express or implied, of the Board's statutory authority or jurisdiction regarding any other pending or future investigation, action or proceeding. Respondent also understands that acceptance of this Consent Agreement does not preclude any other agency, subdivision, or officer of this State from instituting other civil or criminal proceedings with respect to the conduct that is the subject of this Consent Agreement.
- 7. Respondent acknowledges and agrees that upon signing this Consent Agreement and returning this document to the Board's Executive Director, Respondent may not revoke his acceptance of the Consent Agreement or make any modifications to the document regardless of whether the Consent Agreement has been signed by the Executive Director. Any modification to this original document is ineffective and void unless mutually agreed by the parties in writing.
- 8. Respondent understands that the Consent Agreement shall not become effective unless and until adopted by the Board and signed by its Executive Director.
- 9. If a court of competent jurisdiction rules that any part of this Consent Agreement is void or otherwise unenforceable, the remainder of the Consent Agreement shall remain in full force and effect.
- 10. Respondent understands and agrees that if the Board does not adopt this Consent Agreement, he will not assert as a defense that the Board's consideration of this Consent Agreement constitutes bias, prejudice, prejudgement or other similar defenses.
- 11. Respondent understands that this Consent Agreement is a public record that may be publicly disseminated as a formal action of the Board and may be reported as required by law to the National Practitioner Data Bank and the Healthcare Integrity and Protection Data Bank.
 - 12. Respondent understands that any violation of this Consent Agreement constitutes

unprofessional conduct under A.R.S. § 32-1927 (A) (17) (the licensee violated a formal order, terms of probation, a consent agreement or a stipulation issued or entered into by the board or its Executive Director pursuant to this chapter) and may result in disciplinary action under A.R.S. § 32-1927.



FINDINGS OF FACT

By stipulation of the parties, this Consent Agreement is entered into for final disposition of the matters described herein. Respondent admits to the following Findings of Fact:

- 13. The Board is the duly constituted authority for the regulation and control of the practice of pharmacy in the State of Arizona.
- 14. The Board possesses jurisdiction over the subject matter and over Respondent as a licensee of the Board under A.R.S. § 32-1901, et seq.
- 15. Respondent is the holder of a license 12624 which permits him to engage in the practice of pharmacy in the State of Arizona.
- 16. From May 1, 2003 through March 6, 2004 Respondent was employed as the pharmacist-incharge between the dates of at Smith's Pharmacy #191, 1775 Lakeside Drive, Bullhead City, Arizona.
- 17. On March 4, 2004, Respondent admitted to his employer to fraudulent activities such as not paying for his family's prescriptions, discounting insurance co-pays for customers without authorization from the customer's insurance or Smith's, not collecting insurance co-pays for prescriptions, refilling prescriptions for patients without authorization from the appropriate medical practitioner, accepting returns of dispensed prescriptions and returning the drugs to stock, and leaving technicians alone in the pharmacy without pharmacist supervision. (See Exhibit A Statement from Respondent's supervisor, Blair Woolf.

18. On March 6, 2004, Respondent sent a letter to Blair Woolf, Respondent's supervisor, detailing some of the same violations as listed in Exhibit A. (See Exhibit B, Respondent's letter).

- 19. Between March 23, 2004 and March 30, 2004, a Board Compliance Officer conducted an audit of Controlled Substances at Smith's Pharmacy #191 for the period from May 1, 2003 to March 6, 2004. The starting inventory on May 1, 2003 was taken by Respondent and the closing inventory on March 6, 2004 was taken by the new pharmacist-in-charge, Frank Stout. (See Exhibit C Audit Results)
- 20. On March 25, 2004, a Board Compliance Officer visited Dr. Sutera's medical office and reviewed the prescriptions filled for Respondent between the dates of May 1, 2003 through March 6, 2004. (See Exhibit D Dr. Sutera's response)
- 21. On April 5, 2004, a Board Compliance Officer mailed to the Las Vegas, Nevada address of Dr. Charles Tadlock, a printout of prescriptions filled at Smith's Pharmacy #191 for patient L.D.. Dr. Tadlock provided a response that patient L.D. was last seen on March 11, 2004 and that patient L.D. was terminated from Dr. Tadlock's practice on April 1, 2004. (See Exhibit E Dr. Tadlock's response)
- 22. Dr. Sutera denied prescribing any of the hydrocodone with acetaminophen prescriptions as listed on Respondent's patient profile and dispensed by Respondent for himself between the dates of May 1, 2003 through March 4, 2004. Respondent dispensed to himself 1200 hydrocodone 10 mg. with acetaminophen 650 mg. tablets, a class III Controlled Substance as defined in A.R.S. § 36-2514 and 21 C.F.R. § 1308-13, all of which were dispensed without a valid prescription order as required under A.R.S. § 32-1901 (73) and A.R.S. § 36-2525(D).
- 23. Dr. Sutera denied prescribing any of the carisoprodol listed on Respondent's patient profile and dispensed by Respondent to himself from May 1, 2003 through March 4, 2004. Respondent dispensed to himself a total of 900 carisoprodol 350 mg. tablets, a class IV Controlled Substance as defined in A.R.S. § 36-2515, all of which were dispensed without a valid prescription order as required under A.R.S. § 32-1901 (73) and A.R.S. § 36-2525(D).
- 24. Dr. Tadlock denied prescribing any of the acetaminophen #4 tablets for patient L.D. and dispensed by Respondent from May 6, 2003 through March 2, 2004. Respondent dispensed to patient

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1	L.D., a total of 1,120 tablets of acetaminophen #4 tablets with codeine 60 mg. tablets, a Class III
2	Controlled Substance as defined in A.R.S. § 36-2514 and 21 C.F.R. § 1308-13, all of which were
3	dispensed without a valid prescription order as required under A.R.S. § 32-1901 (73) and A.R.S. § 36-
4	2525(D).
5	25. The audit conducted by the Board Compliance Officer determined that Respondent, as
6	pharmacist-in-charge, failed to account for the following Class III Controlled Substances as defined in
7	A.R.S. § 36-2514 and 21 C.F.R. § 1308-13:
8	a) 180 tablets of hydrocodone 7.5 mg. with acetaminophen 325 mg.
9	b) 5,039 tablets of hydrocodone 7.5mg. with acetaminophen 500 mg.
10	c) 456 tablets of hydrocodone 7.5 mg. with acetaminophen 750 mg.
11	d) 4,003 tablets of hydrocodone 10 mg. with acetaminophen 325 mg.
12	e) 4,085 tablets of hydrocodone 10 mg. with acetaminophen 500 mg.
13	e) 1,999 tablets of hydrocodone 10 mg. with acetaminohen 650 mg.
14	f) 55 tablets of hydrocodone 10 mg. with acetaminophen 660 mg.
	f) 55 tablets of hydrocodone 10 mg. with acetaminophen 660 mg. in violation of A.R.S. § 36-2523(A) and 21 C.F.R. § 1304.04.
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14 15	in violation of A.R.S. § 36-2523(A) and 21 C.F.R. § 1304.04.
14 15 16	in violation of A.R.S. § 36-2523(A) and 21 C.F.R. § 1304.04. CONCLUSIONS OF LAW
14 15 16 17	in violation of A.R.S. § 36-2523(A) and 21 C.F.R. § 1304.04. CONCLUSIONS OF LAW 26. Under A.R.S. § 32-1901, et seq., The Board is the duly constituted authority for the regulation
14 15 16 17 18	in violation of A.R.S. § 36-2523(A) and 21 C.F.R. § 1304.04. CONCLUSIONS OF LAW 26. Under A.R.S. § 32-1901, et seq., The Board is the duly constituted authority for the regulation and control of the practice of pharmacy in the state of Arizona.
14 15 16 17 18	in violation of A.R.S. § 36-2523(A) and 21 C.F.R. § 1304.04. CONCLUSIONS OF LAW 26. Under A.R.S. § 32-1901, et seq., The Board is the duly constituted authority for the regulation and control of the practice of pharmacy in the state of Arizona. 27. The conduct and circumstances described in paragraphs 22 through 24 constitute a violation (s) of A.R.S. § 32-1968 (A) to wit: A.R.S. § 32-1968 (A): A prescription-only drug shall be dispensed only under one of the
14 15 16 17 18 19	in violation of A.R.S. § 36-2523(A) and 21 C.F.R. § 1304.04. CONCLUSIONS OF LAW 26. Under A.R.S. § 32-1901, et seq., The Board is the duly constituted authority for the regulation and control of the practice of pharmacy in the state of Arizona. 27. The conduct and circumstances described in paragraphs 22 through 24 constitute a violation (s) of A.R.S. § 32-1968 (A) to wit: A.R.S. § 32-1968 (A): A prescription-only drug shall be dispensed only under one of the following conditions: 1. By a medical practitioner in conformance with section 32-1921.
14 15 16 17 18 19 20 21	in violation of A.R.S. § 36-2523(A) and 21 C.F.R. § 1304.04. CONCLUSIONS OF LAW 26. Under A.R.S. § 32-1901, et seq., The Board is the duly constituted authority for the regulation and control of the practice of pharmacy in the state of Arizona. 27. The conduct and circumstances described in paragraphs 22 through 24 constitute a violation (s) of A.R.S. § 32-1968 (A) to wit: A.R.S. § 32-1968 (A): A prescription-only drug shall be dispensed only under one of the following conditions: 1. By a medical practitioner in conformance with section 32-1921. 2. On a written prescription order 3. On an oral prescription order that is reduced promptly to writing and filed by the
14 15 16 17 18 19 20 21 22	in violation of A.R.S. § 36-2523(A) and 21 C.F.R. § 1304.04. CONCLUSIONS OF LAW 26. Under A.R.S. § 32-1901, et seq., The Board is the duly constituted authority for the regulation and control of the practice of pharmacy in the state of Arizona. 27. The conduct and circumstances described in paragraphs 22 through 24 constitute a violation (s) of A.R.S. § 32-1968 (A) to wit: A.R.S. § 32-1968 (A): A prescription-only drug shall be dispensed only under one of the following conditions: 1. By a medical practitioner in conformance with section 32-1921. 2. On a written prescription order 3. On an oral prescription order that is reduced promptly to writing and filed by the pharmacist. 4. By renewing any written or oral prescription order if a renewal is authorized by the
14 15 16 17 18 19 20 21 22 23	in violation of A.R.S. § 36-2523(A) and 21 C.F.R. § 1304.04. CONCLUSIONS OF LAW 26. Under A.R.S. § 32-1901, et seq., The Board is the duly constituted authority for the regulation and control of the practice of pharmacy in the state of Arizona. 27. The conduct and circumstances described in paragraphs 22 through 24 constitute a violation (s) of A.R.S. § 32-1968 (A) to wit: A.R.S. § 32-1968 (A): A prescription-only drug shall be dispensed only under one of the following conditions: 1. By a medical practitioner in conformance with section 32-1921. 2. On a written prescription order 3. On an oral prescription order that is reduced promptly to writing and filed by the pharmacist.

2523 (A) to wit:

Persons registered to manufacture, distribute or dispense controlled substances under this chapter shall keep records and maintain inventories in conformance with the record keeping and inventory requirements of federal law and title 32, chapter 18, and with any additional rules the board issues.

- 29. The conduct and circumstances described in the Findings of Fact above constitute grounds for disciplinary action under A.R.S. § 32-1927 (A)(10) and A.R.S. § 32-1927 (B)(2) to wit:
 - A.R.S. § 32-1927 (A)(10) The license of any pharmacist or pharmacy intern may be revoked or suspended or a pharmacist or pharmacy intern may be placed on probation by the board when:

The licensee is found by the board to be guilty of violating any Arizona or federal law, rule, or regulation relating to the manufacture and distribution of drugs, devices, or the practice of pharmacy.

A.R.S. § 32-1927 (B)(2) The license of any pharmacist or pharmacy intern may be revoked or suspended or the pharmacist or pharmacy intern may be placed on probation or censured and a civil penalty of not more than one thousand dollars (\$1000.00) for each offense may be imposed by the board when:

The licensee is found by the board, or is convicted in a federal or state court, of having violated federal or state laws or administrative rules pertaining to marijuana, prescription-only drugs, narcotics, dangerous drugs or controlled substances.

ORDER

Based upon the above Findings of Fact and Conclusions of Law and under the authority granted to the Board by A.R.S. § 32-1928, 41-1092.07 (F)(5), and A.A.C. R4-23-104(G).

IT IS HEREBY ORDERED that:

- 30. Respondent shall have initiated a five (5) year contract with the Pharmacists Assisting Pharmacists of Arizona (PAPA) and shall abide by each and every requirement of that contract.
- 31. License No. 12624, which was issued to Respondent for the practice of pharmacy in the State of Arizona, is hereby placed on SUSPENSION for a period of time to be determined by the steering committee of the Pharmacists Assisting Pharmacists in Arizona (PAPA), at a minimum for six (6) months, but not more than one (1) year from the date of the voluntary surrender of said license which was March 10, 2004, and thereafter PROBATION for a period of five (5) years upon adoption of this Consent Agreement by the Board. The SUSPENSION and PROBATION are subject to the following conditions:

- 32. Respondent shall pay all fees and complete all Continuing Education requirements throughout the term of his SUSPENSION and PROBATION to maintain Pharmacist License Number 12624.
- 33. Respondent shall not serve as a preceptor pharmacist or pharmacist-in-charge throughout the term of his SUSPENSION and PROBATION.
- 34. Respondent shall obey all federal and state laws and rules governing the practice of pharmacy.
- 35. Respondent shall furnish the Board with a list of all jurisdictions in which he maintains or has maintained licensure in the profession of pharmacy along with the registration number of said licenses.
- 36. Respondent is required to advise the Board immediately of any change in pharmacy employment status throughout the term of his probation.
- 37. Respondent is required to furnish all pharmacy employers with a copy of this Board Order throughout the term of his probation.
- 38. Respondent shall compete fifteen (15) contact hours (1.5 CEU's) of American Council on Pharmaceutical Education (ACPE) approved Continuing Education on topics of ethics or law approved by the Board staff before the termination of the period of **PROBATION**. The additional Continuing Education hours are in addition to those required for license renewal by A.R.S. § 32-1936 and A.A.C. R4-23-204.
- 39. Respondent shall complete four hundred (400) hours of community service approved by the Board staff before the termination of the period of **PROBATION**.
- 40. If Respondent violates this Order in any way or fails to fulfill the requirements of this Order, the Board, after giving the Respondent notice and the opportunity to be heard, may revoke, suspend or take other disciplinary actions against the Respondent. The issue of such hearing will be limited solely to whether this Order has been violated.
- 41. Respondent shall appear before the Board at a regularly scheduled Board meeting on or after June 24, 2009 to request that the probation imposed by this Order be terminated. Respondent's failure to petition the Board to terminate the probation shall extend the probation period.

DATED and EFFECTIVE this 25

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day of June, 2004

ARIZONA STATE BOARD OF PHARMACY

Hal Wand, RPh
Executive Director
Arizona State Board of Pharmacy

ORIGINAL of the foregoing, fully executed, filed this 2 day of JUNE 2004, with:

Arizona State Board of Pharmacy 4425 W. Olive Avenue, #140 Glendale, Arizona 85302

Fully Executed Copy of the foregoing sent via Certified US mail this day of . 2004 to:

HOWARD G. JONES 4241 San Jose Road Bullhead City, AZ 86429

Copy or the foregoing mailed this 25 day of JUNE, 2004 to:

Roberto Pulver Assistant Attorney General 1275 W. Washington, CIV/LES Phoenix, Arizona 85007 Attorney for the State

By: Richard Q. De Shitt

